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Atty. Dkt. No. 057898-0107

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Danne L. Buchanan, et al.

Title: METHODS AND SYSTEMS FOR PROCESSING  
FINANCIAL INSTRUMENT DEPOSITS

Appl. No.: 10/622,832

Filing Date: 07/21/2003

Examiner: Daniel S. Felten

Art Unit: 3624

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial Nos. 09/560779, filed 4/28/2000 and 09/676,956, filed 10/2/2000. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

**RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date 8/24/05

By 

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Substitute for form 1449B/PTO  
**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**

Date Submitted: August 24, 2005

(use as many sheets as necessary)

**Complete if Known**

Application Number 10/622,832  
 Filing Date 07/21/2003  
 First Named Inventor Danne L. Buchanan  
 Group Art Unit 3624  
 Examiner Name Daniel S. FELTEN  
 Attorney Docket Number 057898-0107

Sheet 1 of 40

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1	2002/0001393	A1	Jones, et al.	01/01/2002	
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	A3	4,126,779		Jowers et al.	11/21/1978	
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Examiner Signature

Date Considered

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<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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				<b>Application Number</b>	10/622,832
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				<b>Group Art Unit</b>	3624
				<b>Examiner Name</b>	Daniel S. FELTEN
				<b>Attorney Docket Number</b>	057898-0107
Sheet	2	of	40		

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	A69	EP	0 320 713	B1	International Business Machines Corporation	06/21/1989	
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	A72	EP	0 661 654	A2	International Business Machines Corporation	07/05/1995	
	A73	EP	0 671 696		Yaskel, Filip Jay	09-13-1995	

Examiner Signature		Date Considered	
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
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		First Named Inventor	Danne L. Buchanan
		Group Art Unit	3624
		Examiner Name	Daniel S. FELTEN
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		<b>First Named Inventor</b>	Danne L. Buchanan
		<b>Group Art Unit</b>	3624
		<b>Examiner Name</b>	Daniel S. FELTEN
<b>Sheet</b>	21	of	40
		<b>Attorney Docket Number</b>	057898-0107

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		Filing Date	07/21/2003
		First Named Inventor	Danne L. Buchanan
		Group Art Unit	3624
		Examiner Name	Daniel S. FELTEN
Sheet	28	of	40
		Attorney Docket Number	057898-0107

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				Filing Date	07/21/2003
				First Named Inventor	Danne L. Buchanan
				Group Art Unit	3624
				Examiner Name	Daniel S. FELTEN
Sheet	35	of	40	Attorney Docket Number	057898-0107

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		<b>Examiner Name</b>	Daniel S. FELTEN
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